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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No..... 08/852,158
Filing Date 5/6/97
3 Inventor..... Mathur et al.
Applicant..... Microsoft Corporation
4 Attorney's Docket No.MS1-151US
Title: Controlling Memory Usage in Systems Having Limited Physical Memory

TRANSMITTAL LETTER AND CERTIFICATE OF MAILING

To: Commissioner of Patents and Trademarks,
Washington, D.C. 20231

From: Daniel L. Hayes (Tel. 509-324-9256; Fax 509-323-8979)
Lee & Hayes, PLLC
W.201 North River Drive, Suite 430, Spokane, WA 99201

The following enumerated items accompany this transmittal letter and are being submitted for the matter identified in the above caption.

1. Transmittal letter including Certificate of Mailing
2. Notice to File Missing Parts of Application
3. Executed Declaration
4. Check in the Amount of \$1,728 (Filing Fee \$1,558, Surcharge \$130, and Assignment Recording Fee \$40)
5. Assignment with Recordation Form Cover Sheet
6. Return Post Card

Large Entity Status [x] Small Entity Status []

If necessary, the PTO is hereby authorized to charge any fees required or credit any overpayment to Deposit Account 12-0769 pursuant to 37 CFR 1.25.

Date: 10/21/97

By: Daniel L. Hayes

Daniel L. Hayes
Reg. No. 34,618

CERTIFICATE OF MAILING

I hereby certify that the items listed above as enclosed are being deposited with the U.S. Postal Service as either first class mail, or Express Mail if the blank for Express Mail No. is completed below, in an envelope addressed to The Commissioner of Patents and Trademarks, Washington, D.C. 20231, on the below-indicated date. Any Express Mail No. has also been marked on the listed items.

Express Mail No. (if applicable) _____

Date: Oct. 21, 1997

By: Helen M. Hare

Helen M. Hare

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST-NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
08/852,158	05/06/97	MATHUR	S MS1-151 US
		0242/1003	NOT ASSIGNED
DANIEL L HAYES LEE & HAYES SUITE 430 W 201 NORTH RIVER DRIVE SPOKANE WA 99201		DATE MAILED: 3102	
NOTICE TO FILE MISSING PARTS OF APPLICATION <i>Filing Date Granted</i>			
An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted ALONG WITH THE PAYMENT OF A SURCHARGE for items 1 and 3-6 only of \$ <u>130</u> for a <input type="checkbox"/> large entity <input checked="" type="checkbox"/> small entity in compliance with 37 CFR 1.27. The surcharge is set forth in 37 CFR 1.6(e). Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).			
<i>If all required items on this form are filed within the period set above, the total amount owed by applicant as a large entity <input checked="" type="checkbox"/> small entity (verified statement filed), is \$ <u>130</u>.</i>			
<p>1. The statutory basic filing fee is:</p> <p><input checked="" type="checkbox"/> missing <input type="checkbox"/> insufficient</p> <p>Applicant must submit \$ <u>190</u> to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).</p>			
<p>2. Additional claim fees of \$ <u>470</u>, including any multiple dependent claim fees, are required.</p> <p>Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.</p>			
<p>3. The oath or declaration:</p> <p><input checked="" type="checkbox"/> is missing <input type="checkbox"/> does not cover the newly submitted items <input type="checkbox"/> does not identify the application to which it applies <input type="checkbox"/> does not include the city and state or foreign country of applicant's residence</p> <p>An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.</p>			
<p>4. The signature(s) to the oath or declaration is/are:</p> <p><input type="checkbox"/> missing <input type="checkbox"/> by a person other than inventor or person qualified under 37 CFR 1.42, 1.43, or 1.47</p> <p>A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.</p>			
<p>5. The signature of the following joint inventor(s) is missing from the oath or declaration:</p> <p><input type="checkbox"/> 1. <u>JETTA</u> <input type="checkbox"/> 2. <u>BILLY</u></p> <p>An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.</p>			
<p>6. A \$ <u>130</u> processing fee is required since your check was returned without payment (37 CFR 21(m)).</p>			
<p>7. Your filing receipt was mailed in error because your check was returned without payment.</p>			
<p>8. The application does not comply with the Sequence Rules. See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."</p>			
<p>9. OTHER</p>			
<p>Direct the response and any questions about this notice to "Attention: Box Missing Parts."</p>			
<p>A copy of this notice MUST be returned with the response.</p>			
<p><i>Karen Smith</i> Customer Service Center Initial Patent Examination Division (703) 308-1202</p>			
<p>FORM PTO-1500 (REV. 7-80)</p>			
<p>PART 2 - COPY TO BE RETURNED WITH RESPONSE</p>			